

The logo for Santa Monica College's Institutional Research department. It features a dark blue background with a stylized graphic of overlapping light blue and white curved shapes on the left. The text "SMC" is in a light blue, sans-serif font in the top right corner. Below it, the words "Institutional" and "Research" are stacked in a large, white, sans-serif font.

# Institutional Research

## **What is Institutional Research?**

Santa Monica College  
Fall 2011

Presented by:  
Hannah Alford, Director  
Ani Aharonian, Research Analyst  
Office of Institutional Research

# Workshop Overview

- ✓ Intro to Institutional Research (IR)
- ✓ IR at SMC
- ✓ Types of Data
- ✓ Types of IR Services
- ✓ Making the Best of IR Services (Help us help you)
- ✓ Culture of Inquiry
- ✓ IR Tools and Resources

# Introduction to Institutional Research

- **Definition:** IR is a set of activities that support institutional planning, policy development, and decision making
  - Evaluation
  - Strategic planning and planning
  - Budget analysis
  - Enrollment management
  - Research studies
- **Evolution of IR:**
  - Early 1990's to late 2000's – focus on reporting **numbers and percentages**
    - Relied on standard data reports, similarly to TIMS
  - Since early 2000 – focus on **assessment and evaluation**

# IR at SMC: Mission

## MISSION:

The Office of Institutional Research at SMC strives to support the college's mission and commitment to student learning and success by providing quality, accessible, reliable and relevant information to facilitate decision-making and planning processes, enhance institutional effectiveness, and promote a culture of evidence-based inquiry.

# IR at SMC: Core Functions

## Program and Department Support

- Program review
- SLO assessment
- Planning & assessment
- Reporting requirements

## College-Wide Support

- Institutional Effectiveness
- Initiatives – planning & assessment
- Committees – planning & assessment
- Grant – proposal & evaluation

## Mandates

- Validate placement exams and course prerequisites
- Respond to federal & state reporting requirements

## Office

- Maintain standard set of data reports
- Participate in campus dialogue
- Expand research capacity – educate
- Review requests to conduct research with SMC human subjects

# IR at SMC

- **Research Advisory Board**
  - Advise IR office in prioritizing research and setting a research agenda
- **Office Staff**
  - Director: Hannah Alford
  - Research Analysts: Ani Aharonian, Daniel Berumen

# Types of Data

Administrative (Regularly Collected)	Original (Need to Collect)	
<p>Semester or older</p> <p><b>Student-level:</b></p> <ul style="list-style-type: none"> <li>•Demographic &amp; background</li> <li>•Course enrollment</li> <li>•Course grade, certificates &amp; degrees</li> <li>•Placement results</li> <li>•Participation in counseling &amp; tutoring**</li> <li>•ILO</li> <li>•Financial aid received</li> </ul> <p><b>Course-level:</b></p> <ul style="list-style-type: none"> <li>•Course details by section (basic skills status, distance learning status, day/evening, etc.)</li> </ul> <p><b>Aggregate:</b></p> <ul style="list-style-type: none"> <li>•Transfers to four-year</li> </ul>	<p><b>Quantitative</b></p> <p><b>Examples:</b></p> <p>Survey/ questionnaire</p> <p>Student performance</p>	<p><b>Qualitative</b></p> <p><b>Examples:</b></p> <p>Survey/ questionnaire</p> <p>Focus group</p> <p>Interviews</p> <p>Text analysis</p>

# Types of Data: Administrative Data

- **Limited access**
  - Live data in ISIS
  - Student contact information
  - Data collected but not regularly reported (i.e., parent's highest education, major)
- **No access**
  - Reports not produced by SMC IR
  - Census
  - What happens to students once they leave
  - Data about other schools



# IR Services

- Data dump (for own analyses)
- Conduct research
  - Assist in formulation of research questions
  - Develop tools to collect data
  - Administer (collect) data
  - Analyze and organize data
  - Provide narrative (interpreting analysis findings)
  - Present findings
- Provide training, facilitate discussion of data
- Reporting requirements

# Help Us Help You: Making Best Use of IR

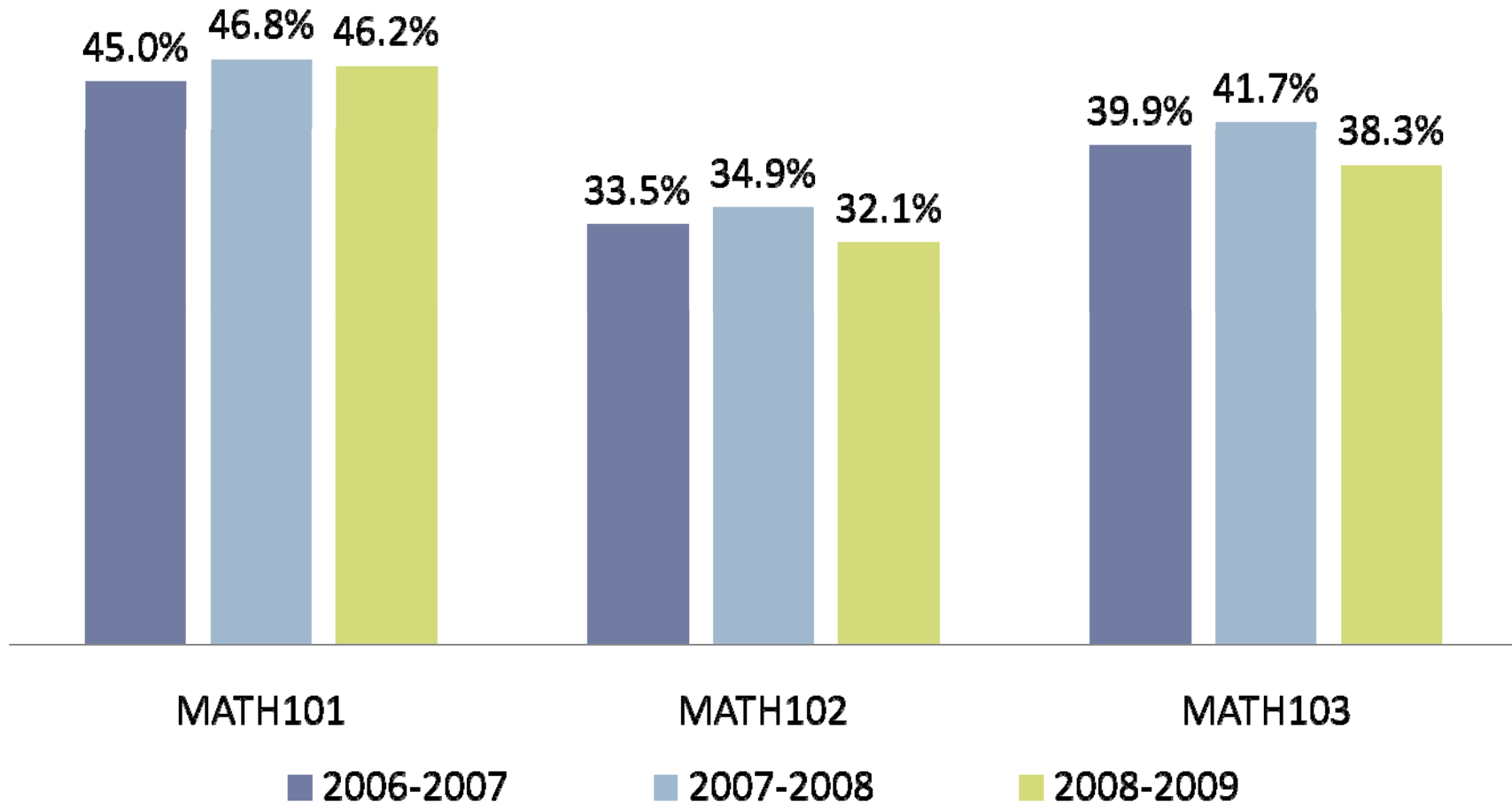
- Dialog
  - Fosters culture of inquiry
  - Generates research questions and plans of action
- Planning ahead
  - Involve IR early
  - Allow enough time for requests
- Begin with a research question
- Think action
  - Plan how data will be used

# Inquiry Process

- Inquiry process
- State of research in community colleges
- Research questions
- Research plan –
  - collecting data to inform plan/goals
  - collecting data to evaluate plan/goals

## Successful Course Completion Rates

Mock Data



Most of my students are employed... they are juggling school and work which is a barrier

Lot of students have childcare issues which affects their ability to come to class

Students aren't utilizing the math lab services

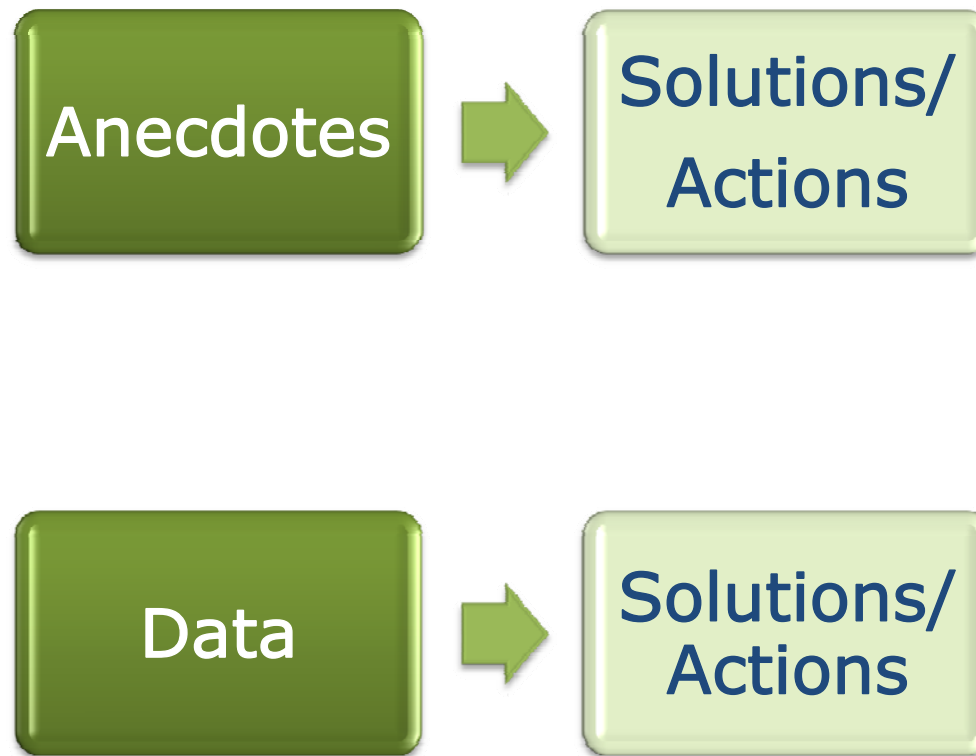
# Brainstorm

- Other reasons you believe students are achieving low success rates in math?
- Solutions/strategies/actions based on the anecdotes or hunches

# Findings from a Math Study (Not SMC)

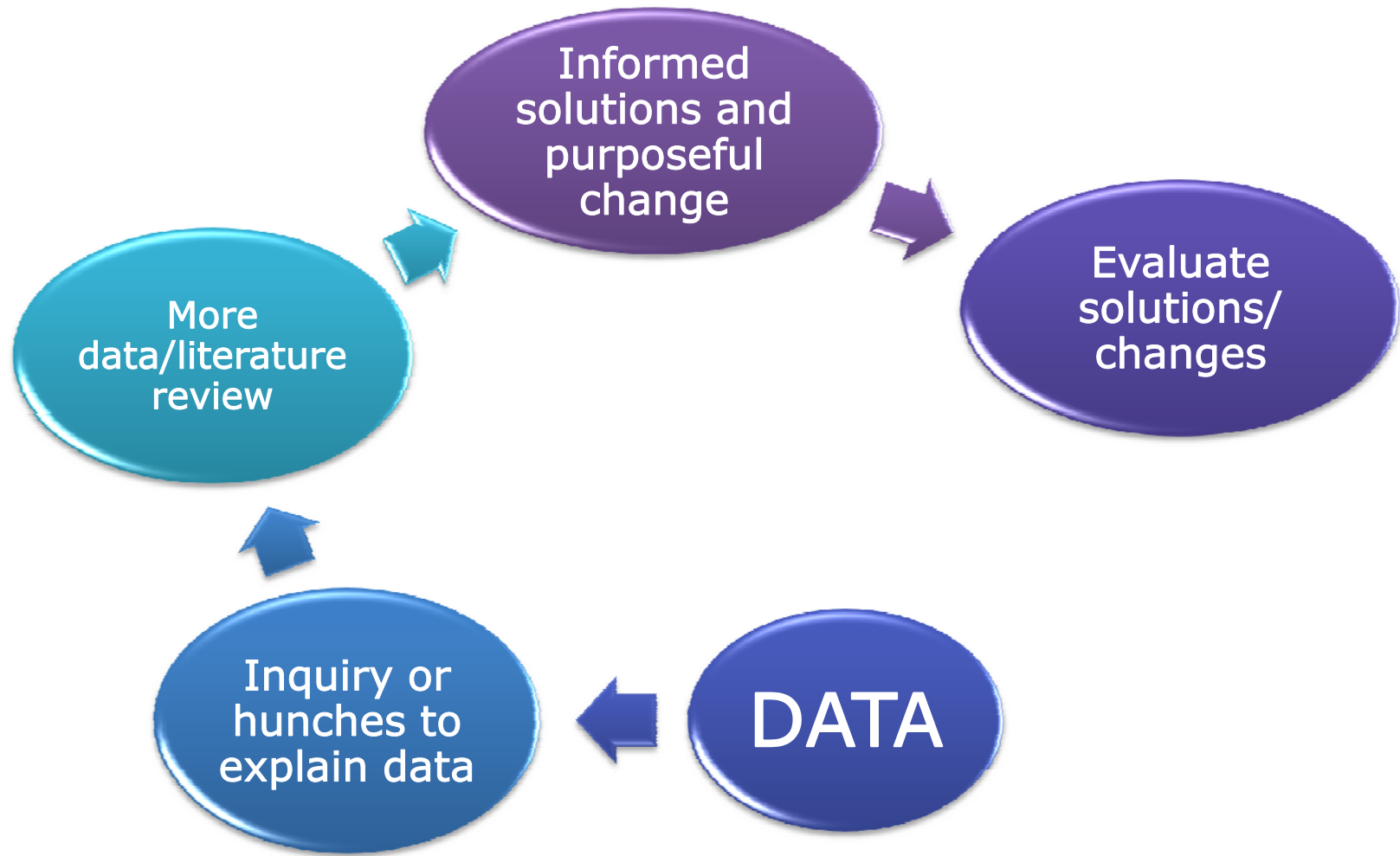
SURVEY	ADMINISTRATIVE DATA	FOCUS GROUPS/INTERVIEWS
<ul style="list-style-type: none"> <li>▪ Only 1/3 of students enrolled in math courses were working at least part time</li> <li>▪ Fewer than 10% of math students were caring for children/other family members</li> <li>▪ Half of students have utilized math lab services</li> <li>▪ Two-thirds of students reported studying fewer than 2 hours a week for their math course</li> </ul>	<p>Students who utilized math lab services did not have higher courses success rates than students who did not</p>	<ul style="list-style-type: none"> <li>• Students are dissatisfied with math lab services</li> <li>• Tutors are ineffective – ‘cannot teach to my level’</li> </ul>

# Typical Data Use Process





# Inquiry Process



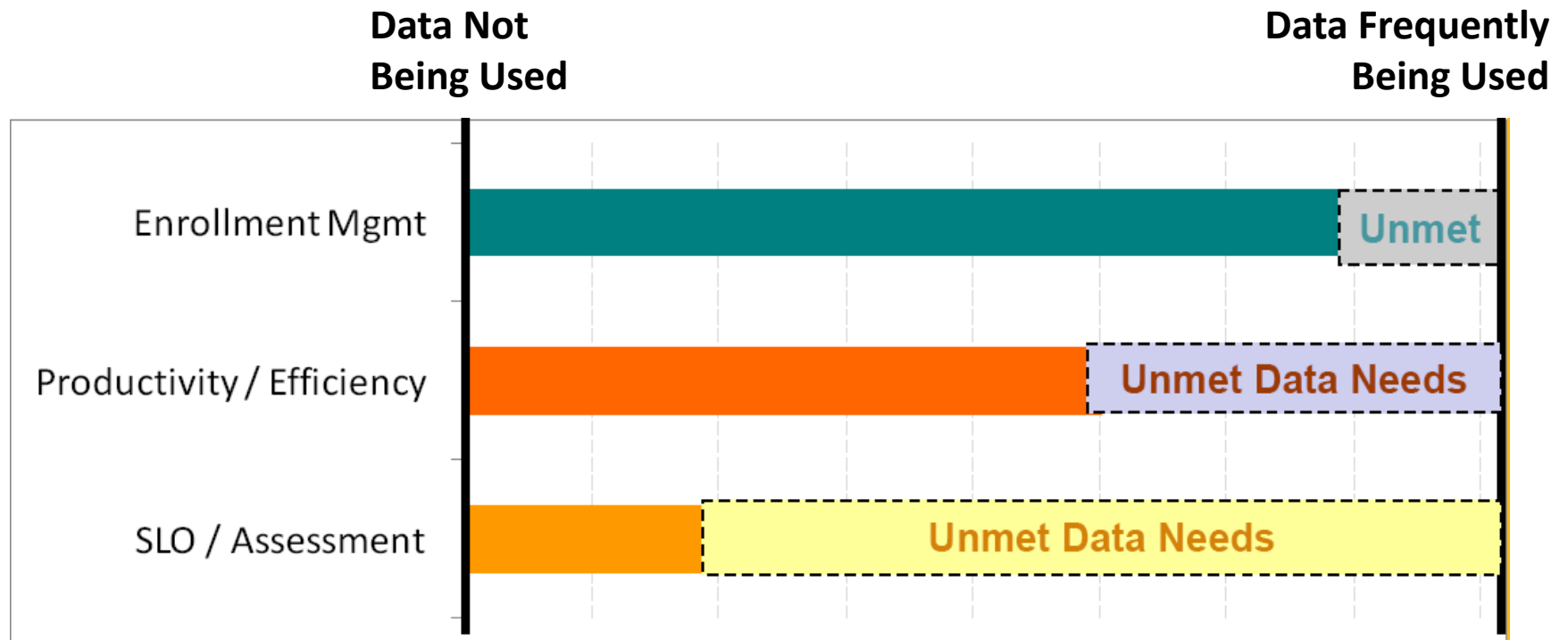
# State of Research in Community Colleges

- Research and Planning Group of California (RP Group) conducted a survey of data use in community colleges

## Findings:

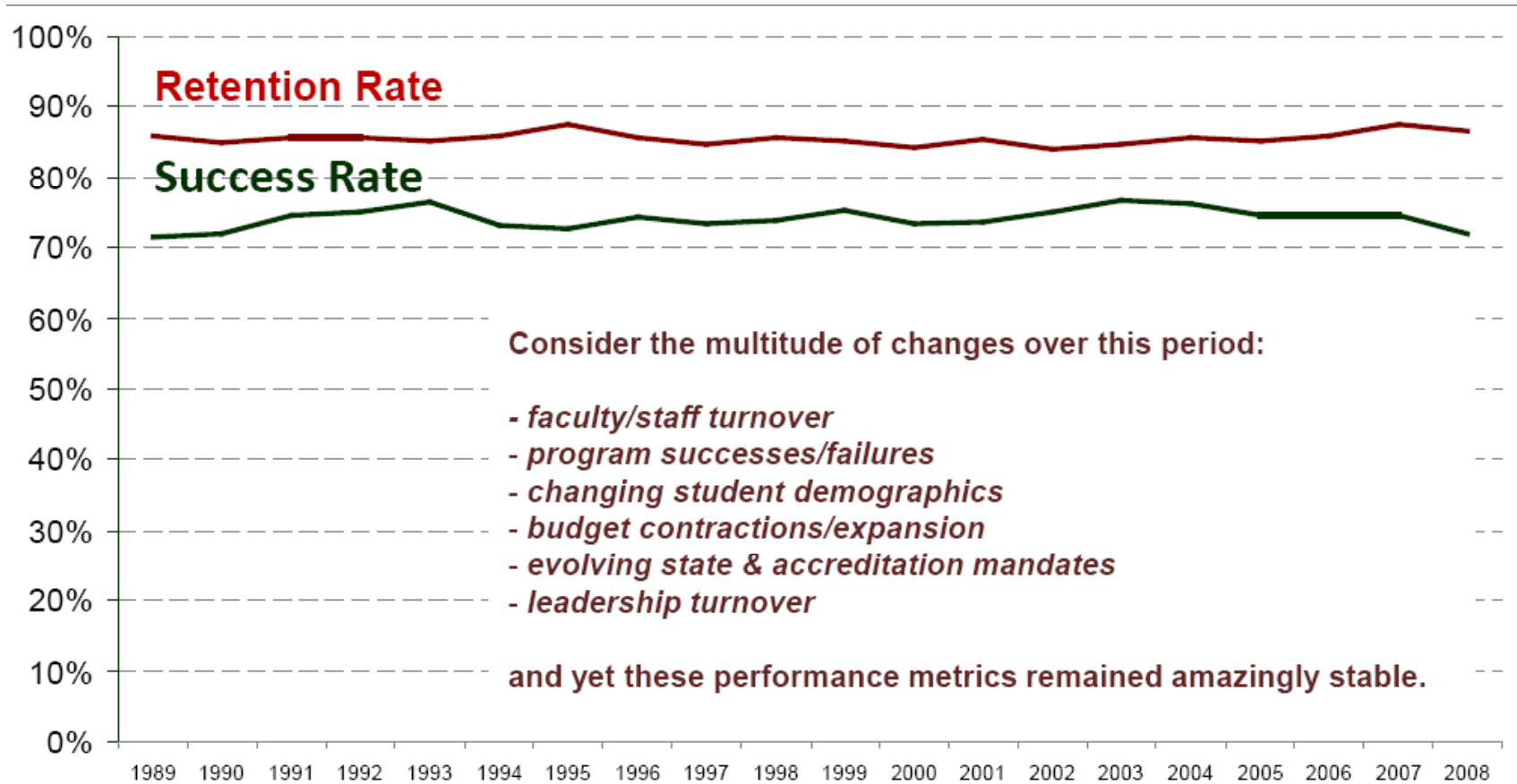
- Study found that colleges are *data rich but information poor*
- Colleges may be focusing on the *wrong data*

Study found that colleges are *data rich but information poor*



Source: RP Group 2009, BRIC Project

## Colleges may be focusing on the *wrong data*



Source: RP Group 2009, BRIC Project

# State of Research in Community Colleges

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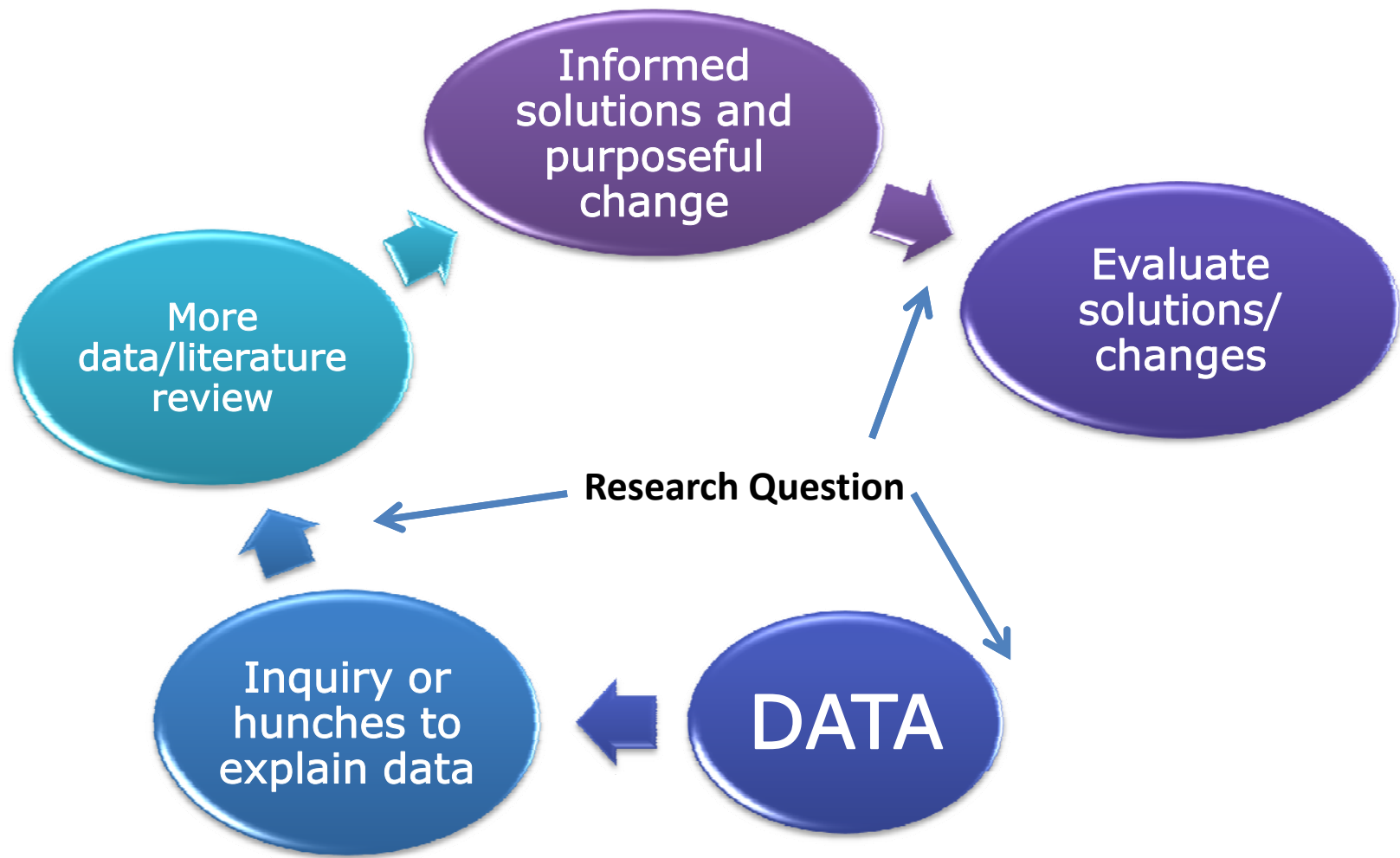
## Findings:

- Study found that colleges are *data rich but information poor*
- Colleges may be focusing on the *wrong data*

## Solution?

**ASK THE RIGHT RESEARCH QUESTIONS**

# Inquiry Process



# Steps: Gathering Data



# Research Purpose

Purpose	Description	Example
<b>Basic or Applied</b>	Generation of new knowledge	What is the relationship between student-faculty interaction and academic self-concept?
<b>Summative Evaluation</b>	Determine effectiveness of products, processes, programs, and organizations	Does participation in the EOPS program increase term-to-term persistence?
<b>Formative Evaluation</b>	Ongoing improvement of products, processes, programs, and organizations	What are the strengths and weaknesses of the AAPIA program?
<b>Action (Problem Solving)</b>	Solve and important local problem of practice for the benefit of study's stakeholders	Why do gaps in transfer rates between ethnic/race groups exist at SMC?



# Research Questions

- **Important/relevant**
  - Salient and credible to stakeholders
- **Clear and well-focused (specific)**
  - Answer should be informative and lead to strategies for improvement, etc.
  - Answer should be focused on things we can control
- **Answerable**
  - Through collection of quantitative or qualitative data/evidence

# Types of Research Questions

- Descriptive: *What is happening?*
- Causal: *Is there a systematic effect?*
- Process or mechanical: *Why or how is it happening?*

# Methods

Research question dictates the method of data collection: qualitative, quantitative, or mixed

- Quantitative → numeric
- Qualitative → narrative

# Research Design

- A series of steps to follow that is designed to answer a research question
  - Method: Qualitative, quantitative, mixed
  - Unit of analyses: Individual, group, program components, whole program, organizations, etc.
  - Measurement tool: surveys, focus group, interview, administrative data, etc.
  - Logistics: when will the data be collected? For how long? Who will collect the data?

# In Summary...

- Inquiry process ensures that data will be 'action-able'
- Asking the right research questions will generate data that informs the development of appropriate solutions and goals
- Asking the right research questions will help us determine whether the solution or goal is 'working' – effectiveness
- The research question dictates the method of data collection

# Resources

- Office of IR
  - [Website Documents](#)
  - [Newsletter Blog](#)
  - [Staff development resources](#)
- Other Resources
  - [Chancellor's Office](#)
  - [Cal-Pass SMART tool](#)
  - [CPEC](#)
  - Survey Gizmo (contact IR)

# Chancellor's Office



CALIFORNIA COMMUNITY COLLEGES  
CHANCELLOR'S OFFICE

Thursday, October 20, 2011

- Home
- Chancellor's Office
- Community Colleges
- Student Support
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- Site Map

**Technology, Research and Information Systems**

- » [Division Home](#)
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System Map  
System Grants  
Data Mart  
Community College Listings  
Approved Programs  
Academic Holiday Calendar  
Professional Development

**Student Demographics - Annual**

- » [Query By College/Statewide](#)
- » [Query By District](#)

**Full Time Equivalent Students (FTES)**

- » [Query By College/Statewide](#)
- » [Query By District](#)

**Full Time Equivalent Students (FTES) - By Distance Education Status**

- » [Query By College/Statewide](#)
- » [Query By District](#)

**Student Program Awards**

- » [Query By College/Statewide](#)

**Program Retention/Success Rates**

- » [Query By College/Statewide](#)

**Program Retention/Success Rates - By Distance Education Status**

- » [Query By College/Statewide](#)
- » [Query By District](#)

**Transfer Velocity Project Cohort**

- [Data Mart](#)
- [Regional Training](#)
- [Data Element Dictionary](#)
- [Data Submission Timeline](#)
- [Data On Demand](#)

# Cal-Pass SMART tool

What are our success rates by TOP code by fall terms?

Measures and Dimensions :

- Measures
  - Course Enrollment Count
  - Distinct Student Count - CP
  - FTES-CP
  - Retention Rate
  - Success Rate
  - Course Enrollment Count Column
  - Course Enrollment Count Row Per
- Accounting Method
- Age at Term
- Basic Skills Status
- College
- Course Hierarchy
- Credit Status
- Day Evening Class
- Department
- Disability
- Ethnicity
- Gender
- Grade
- Level Below Transfer
- SAM Code
- Time Period
- TOP Code
- Transfer Status

Filter/Slicer :

College : All

Chart Grid

Success Rate by Time Period by TOP Code

	Success Rate						
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	2007-2008	2008-2009
	Fall 2002	Fall 2003	Fall 2004	Fall 2005	Fall 2006	Fall 2007	Fall 2008
Architecture and Related Technologies	67.20%						
Biological Sciences	62.08%	68.55%	66.99%	63.54%	66.08%	65.22%	64.84%
Business and Management	64.72%	70.83%	63.57%	63.65%	60.64%	60.73%	61.23%
Commercial Services	82.39%	78.16%	79.49%	72.64%	81.18%	68.77%	68.40%
Education	74.94%	77.86%	71.26%	74.56%	74.12%	73.63%	72.34%
Engineering and Industrial Technologies	70.05%	56.25%	76.19%	41.03%	44.44%	37.04%	44.83%
Family and Consumer Sciences	73.95%	76.11%	71.32%	72.81%	69.82%	68.52%	68.99%
Fine and Applied Arts	71.11%	72.75%	68.59%	67.59%	68.41%	67.66%	69.68%
Foreign Language	65.72%	63.48%	64.48%	63.17%	67.03%	66.24%	65.12%
Health	74.70%	83.39%	81.28%	82.78%	80.02%	79.66%	82.85%
Humanities (Letters)	68.85%	69.30%	68.45%	65.25%	64.52%	65.65%	65.98%
Information Technology	60.65%	66.70%	62.08%	60.24%	61.18%	63.11%	65.08%
Interdisciplinary Studies	70.28%	71.10%	69.12%	70.26%	68.12%	66.30%	69.77%
Law			0.00%				0.00%
Library Science	71.26%	60.19%	46.90%	50.63%	62.28%	55.04%	51.28%
Mathematics	49.25%	47.53%	48.92%	49.51%	48.92%	49.62%	47.69%
Media and Communications	74.18%	76.05%	69.35%	70.02%	69.03%	71.82%	73.72%
Physical Sciences	68.02%	68.41%	65.01%	65.91%	66.85%	66.43%	64.73%
Psychology	67.00%	67.01%	60.82%	59.49%	61.58%	61.61%	63.18%
Public and Protective Services	68.59%		86.67%	56.00%			
Social Sciences	64.86%	65.74%	64.81%	64.05%	64.24%	63.20%	64.33%

Series :

Measures

Time Period

Categories :

TOP Code



# CPEC



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## Custom Data Reports

Fully customize data reports -- select which columns or fields to include and specify the filters so that you get only the data you need. The data reports are generate data available. [View a table](#) showing this information.

### NOTE:

Most data available from this system is for the **Fall term** of the academic year selected unless otherwise noted.

-  **First-Time Users:** [Instructions](#) are available on how to use this system.
-  **How to do it:** [Watch a short video](#) (5:51) to see how easy it is.

## Select a Data Report

### [Higher Education Enrollment](#)

General Enrollment Numbers  
 First-Time Students  
 College-Going Counts  
 Majors / Instructional Program Enrollment  
 Full-Time Equivalent Enrollment

### [Transfers to Higher Education](#)

Fall-Term Transfers to Private and Public Institutions  
 Full-Year Transfers to Public Institutions

### [Degrees Awarded / Completions](#)

General Degree / Completion Numbers  
 Degrees / Completions in Majors / Instructional Program

### [Grade School and High School](#)

Enrollment by Grade Level  
 Public High School Data  
 Private High School Graduates

**Questions?**

**Thank you!!!**